

CDF

ADVOCATES

Financial Services Team



Frank Chetcuti Dimech co-founded CDF Advocates in 1993. After 3 years in private practice he spent 6 years as the co-head of the Bank of Valletta plc Compliance Unit, responsible for compliance matters in relation to investment services, returning to CDF Advocates in 2002. His practice focuses on investment services and funds as well as general corporate law. He lectures private international law at the University of Malta and is a member of the International Tax Planning Association. He holds a Doctorate of Laws and a Masters in Financial Services from the University of Malta and an International Investment Advice Certificate from the Securities and Investment Institute, London. He holds directorships and/or the post of compliance officer and prevention of money laundering reporting officer in a number of investment funds and fund management companies.



Frank Caruana joined CDF Advocates as head of compliance in 2008. Previously he was Director of the Financial Intelligence and Analysis Unit (FIAU) of the Government of Malta since its inception in October 2002. He also acted as Secretary to the FIAU Board of Governors and chaired the Joint Prevention of Money Laundering and Funding of Terrorism Committee. He also represented Malta as a member of the European Union Commission's Committee for the Prevention of Money Laundering and Terrorist Financing. Prior to his appointment with the FIAU Mr. Caruana was employed with Bank of Valletta plc where he gained experience in practically all spheres of banking from branch management to data processing and internal audit. He spent the last 9 years as the co-head of its Compliance Unit, responsible for implementing and maintaining the prevention of money laundering procedures within the institution as well as for other compliance matters in relation to banking services. He has lectured both locally and overseas on prevention of money laundering and terrorist financing matters.

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Simon Grima joined CDF Advocates as a consultant in 2010. He is currently also a lecturer at the University of Malta faculty of Banking and Finance. He started his professional career with Bank of Valletta where he gained exposure to the Financial Markets and Internal Audit units. After 15 years working with Bank of Valletta he joined the MFSA's Securities Unit, and formed part of the compliance team and working groups to ensure the transposition of various EU directives into Maltese regulations. He later moved on to GlobalCapital plc where he headed the Internal Audit, Risk, Legal and Compliance department and then headed the Internal Audit team of MITA (the Government IT agency). Simon's qualifications include B.Com Management and Banking and Finance (UOM), B.Com (Hons) Banking and Finance (UOM), MSc Financial Management (London), MSc Audit Management and Consultancy (Birmingham City University) and a PhD (UOM). He is also a Fellow of the Institute of Financial Accountants and the Institute of Professional Financial Management.

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